



APPLICATION FOR TRANSACTION ADVISER/ ISSUING HOUSE LICENSE ON THE LAGOS COMMODITIES AND FUTURES EXCHANGE (LCFE)

1. **Company Registered Name:** _____

2. **Company Registered Address:** _____

3. **Company Registration (RC) No.:** _____ **Issue Date:** _____

4. **Email:** _____ **Phone No:** _____

5. **CAPITAL STRUCTURE**

5.1. Authorised: _____ Shares of (N) _____

Each amounting to (N) _____

5.2. Issued: _____ Shares of (N) _____

Each amounting to (N) _____

5.3. Paid up: _____ Shares of (N) _____

6. **Particulars of Directors**

Name	Qualification and experience	Previous employment and date	Current employment

7. **Particulars of Executive and Senior Staff**

Name	Qualification and experience	Date of employment

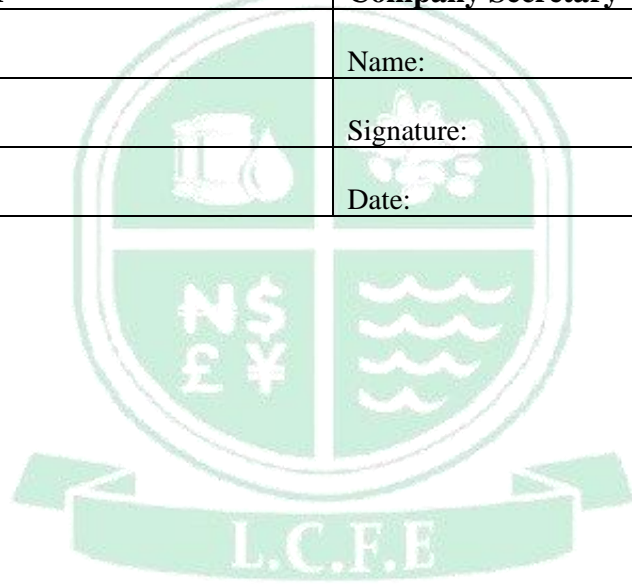


8. Principal Officers Contact

MD/CEO Contact	Compliance Contact	Enquiries Contact
Name:	Name:	Name:
Phone:	Phone:	Phone:
Email:	Email:	Email:

The information as provided by _____ is for the purpose of registering with the LCFE as a Issuing House/Transaction Adviser. This is to affirm and certify that we are officers of this company and have the legal authority to provide information on the company's application for registration. We hereby declare that to the best of our knowledge, the above information provided is true and correct as of this date and we shall notify the Exchange if any change occurs.

Managing Director	Company Secretary
Name:	Name:
Signature:	Signature:
Date:	Date:





SUPPORTING DOCUMENTS

Kindly submit copies of the following documents with your application

S/No	DOCUMENTS	
1	Duly Completed Registration Form.	
2	Certified True Copy of the Certificate of Incorporation.	
3	Memorandum and Article of Association.	
4	Certified True Copy of CAC 2 & CAC 7 (CAC 1.1).	
5	Certificate of Registration with SEC	
6	Particulars of Directors/Signatory (Means of ID, passport photograph, proof of residence),	
7	Particulars of Principal Officers (CV, Means of ID, passport photograph, proof of residence).	
8	Board Resolution Approving Registration with the LCFE.	
9	Fidelity Bond for the current year.	
10	Audited financial accounts for the last two years (please include management letter of the auditor)	
11	Company Profile.	
12	Tax Clearance Certificate.	
13	Evidence of payment of Application fees.	

FEES	
Registration Amount:	₦2,500,000.00
Bank Name	Heritage Bank Plc
Account Name	Lagos Commodities and Futures Exchange
Account Number	5100312258

Note

- The Exchange may from time to time require such other documents as it considers necessary for registration.
- An application for registration will only be considered when all document (s) /information have been submitted /completed

