



**APPLICATION FOR TRANSACTION ADVISER/ ISSUING HOUSE LICENSE ON THE LAGOS COMMODITIES AND FUTURES EXCHANGE (LCFE)**

1. **Company Registered Name:** \_\_\_\_\_

2. **Company Registered Address:** \_\_\_\_\_  
\_\_\_\_\_

3. **Company Registration (RC) No.:** \_\_\_\_\_ **Issue Date:** \_\_\_\_\_

4. **Email:** \_\_\_\_\_ **Phone No:** \_\_\_\_\_

5. **CAPITAL STRUCTURE**

5.1. Authorised: \_\_\_\_\_ Shares of (N) \_\_\_\_\_

Each amounting to (N) \_\_\_\_\_

5.2. Issued: \_\_\_\_\_ Shares of (N) \_\_\_\_\_

Each amounting to (N) \_\_\_\_\_

5.3. Paid up: \_\_\_\_\_ Shares of (N) \_\_\_\_\_

6. **Particulars of Directors**

Name	Qualification and experience	Previous employment and date	Current employment

7. **Particulars of Executive and Senior Staff**

Name	Qualification and experience	Date of employment

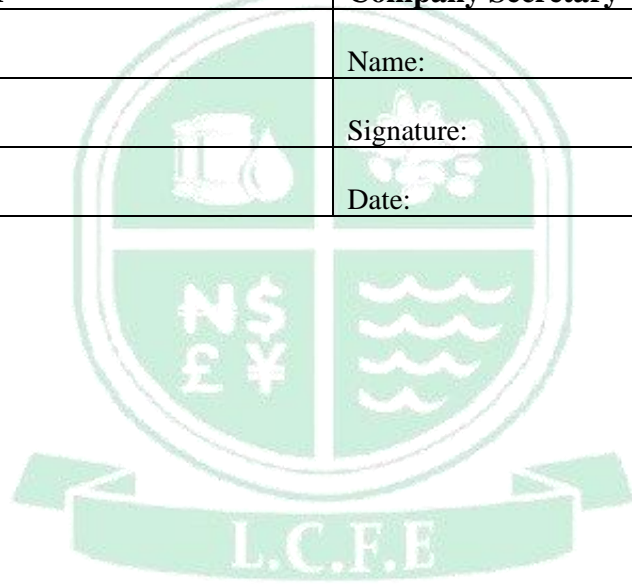


**8. Principal Officers Contact**

<b>MD/CEO Contact</b>	<b>Compliance Contact</b>	<b>Enquiries Contact</b>
Name:	Name:	Name:
Phone:	Phone:	Phone:
Email:	Email:	Email:

*The information as provided by \_\_\_\_\_ is for the purpose of registering with the LCFE as a Issuing House/Transaction Adviser. This is to affirm and certify that we are officers of this company and have the legal authority to provide information on the company's application for registration. We hereby declare that to the best of our knowledge, the above information provided is true and correct as of this date and we shall notify the Exchange if any change occurs.*

<b>Managing Director</b>	<b>Company Secretary</b>
Name:	Name:
Signature:	Signature:
Date:	Date:





## **SUPPORTING DOCUMENTS**

Kindly submit copies of the following documents with your application

<b>S/No</b>	<b>DOCUMENTS</b>	
1	Duly Completed Registration Form.	
2	Certified True Copy of the Certificate of Incorporation.	
3	Memorandum and Article of Association.	
4	Certified True Copy of CAC 2 & CAC 7 (CAC 1.1).	
5	Certificate of Registration with SEC	
6	Particulars of Directors/Signatory (Means of ID, passport photograph, proof of residence),	
7	Particulars of Principal Officers (CV, Means of ID, passport photograph, proof of residence).	
8	Board Resolution Approving Registration with the LCFE.	
9	Fidelity Bond for the current year.	
10	Audited financial accounts for the last two years (please include management letter of the auditor)	
11	Company Profile.	
12	Tax Clearance Certificate.	
13	Evidence of payment of Application fees.	

<b>FEES</b>	
<b>Registration Amount:</b>	<b>₦2,500,000.00</b>
<b>Renewal:</b>	<b>₦ -</b>
<b>Bank Name</b>	Heritage Bank
<b>Account Name</b>	Lagos Commodities and Futures Exchange
<b>Account Number</b>	5100312258

Note

- The Exchange may from time to time require such other documents as it considers necessary for registration.
- An application for registration will only be considered when all document (s) /information have been submitted /completed